



# GeoStudio Example File

## Exit gradients

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### Introduction

Most Soil Mechanics text books present and discuss the concept of seepage exit gradients and state that the exit gradients should not be greater than 1.0. Applying this criteria to two-dimensional finite element seepage analyses requires an understanding as to the conditions for which the criteria was developed and the physical meaning of an exit gradient greater than unity (1.0).

This document discusses the background as to how the exit gradient criterion was developed and how this criterion should be viewed when interpreting 2D finite element seepage analyses.

### Background

The concept of exit gradients was developed primarily from pure upward flow in a column of soil. Consider the column in Figure 1. Water will flow upward through the column if the applied total hydraulic head ( $h$ ) at the bottom of the column is greater than the surface elevation of the column.

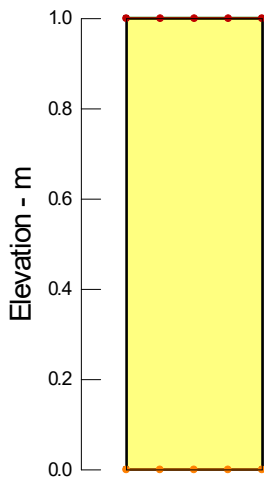


Figure 1. One-dimensional soil column.

If, for example,  $h$  at the base ( $h_{base}$ ) is specified as 1.2 m, water will flow upward through the column. The total head loss is 1.2 m minus 1.0 m, which equals 0.2 m of head. The gradient ( $i$ ) is the total head loss divided by the height ( $L$ ) of the column, which in this case is 0.2. In equation form:

$$i = \frac{(h_{base} - h_{top})}{L} = \frac{(1.2 - 1.0)}{1.0} = 0.2 \quad \text{Equation 1}$$

Let us assume that the total unit weight of the soil ( $\gamma$ ) is 20 kN/m<sup>3</sup> and that the unit weight of the water ( $\gamma_w$ ) is 10 kN/m<sup>3</sup>.

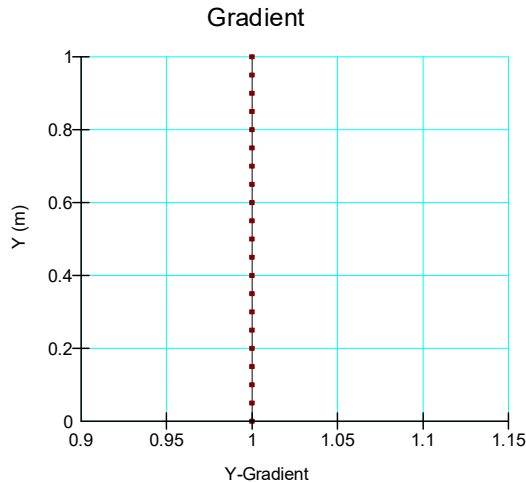
Now, if we apply a total head at the base of the column of 2 m, which equals 2 m of pressure head ( $h_p$ ) since the elevation at the base is zero, the upward gradient will be 1.0 and the effective stress ( $\sigma'$ ) throughout the column will be zero. In equation form:

$$\sigma' = (\sigma - u) = (\gamma L - \gamma_w h_p) = (20 * 1 - 10 * 2) = 0 \quad \text{Equation 2}$$

## GeoStudio Example - Exit gradients

where  $\sigma$  is the total stress and  $u$  is the pore-water pressure. When the effective stress is zero, the gradient is sometimes referred to as the critical gradient. Since the zero effective stress conditions occur when the gradient is 1.0, the critical gradient is 1.0. The zero effective stress condition under upward flow conditions is also referred to as a “quick” condition. This condition is sometimes colloquially referred to as “quick sand” or “boiling.”

Figure 2 shows the SEEP/W computed gradients when  $h$  at the bottom and top of the column is 2.0 and 1.0 m, respectively. This matches the earlier hand calculations.



**Figure 2. SEEP/W computed gradients for one-dimensional column.**

An important observation in the context of the later two-dimensional flow discussions is that the gradient is a constant throughout the column. The criterion that the exit gradient should not exceed 1.0 comes from this type of one-dimensional upward flow analysis.

Figure 3 shows a typical flow net seepage solution for flow under a hydraulic structure, as described by Lambe and Whitman (1979). This example illustrates how the exit gradient is computed from a flow net. The upward gradient is computed in the area marked with an X. The total head loss ( $H$ ) between the last two equipotential lines is 0.62 m. The distance between the two equipotential lines on the downstream end in the X area is 3.3 m. The exit gradient is then computed as 0.62 m divided by 3.3 m, making the upward gradient equal to 0.19.

Of significance for later discussions is that the gradient is computed for a head loss over a fairly long distance of 3.3 m. The computed gradient is, in essence, an average gradient over this distance. Recognizing this as an average over a significant distance is important when we later discussing finite element results.

## GeoStudio Example - Exit gradients

### ► Example 18.2

Given: Flow net in Fig. E18.2

Find: Pressure heads at points A to H; quantity of seepage; gradient in X

Solution: The pressure heads are shown in Fig. E18.2.

Seepage:

$$n_f = 4 \quad n_d = 12.6 \quad k = 0.05 \text{ cm/sec} \quad \xi = \frac{n_f}{n_d} = 0.317$$

$$\frac{Q}{L} = kH\xi = 0.05 \times 10^{-2} \text{ m/sec} \times 7.8 \text{ m} \times 0.317 = 0.00124 \text{ (m}^3\text{/sec)(m)}$$

Gradient in X:

$$i_X = \frac{\Delta h}{l} = \frac{0.62}{3.3} = 0.19$$

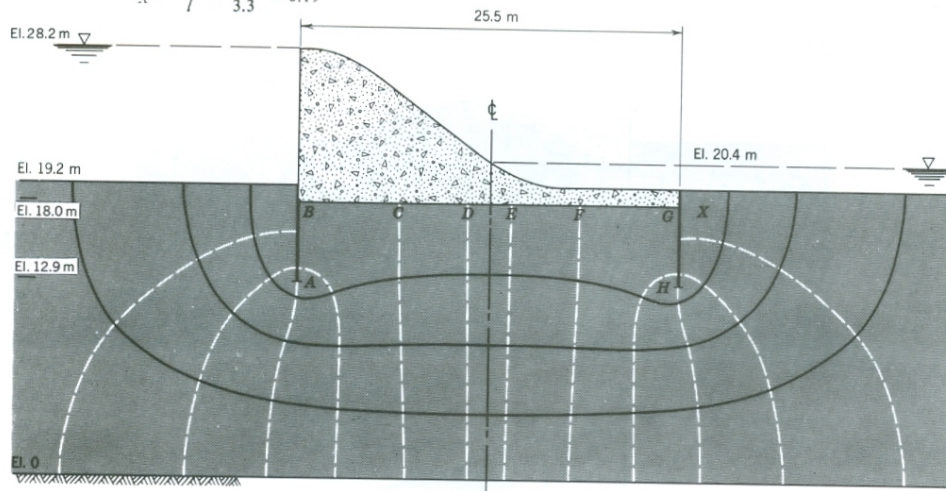


Figure 3. Example flow net from the Lambe and Whitman (1979).

Figure 4 shows the SEEP/W solution for the above text book example. Now, when we compute the upward gradient in the X area, the total head loss between the last two equipotential lines is  $21.05 - 20.4 = 0.65$ . Note, the equipotential increments are not all even in the text book example – there is about a half increment around the center line. SEEP/W cannot accommodate this; the increments must all be the same and, consequently, the increment is 0.65 instead of 0.62 in Figure 3.

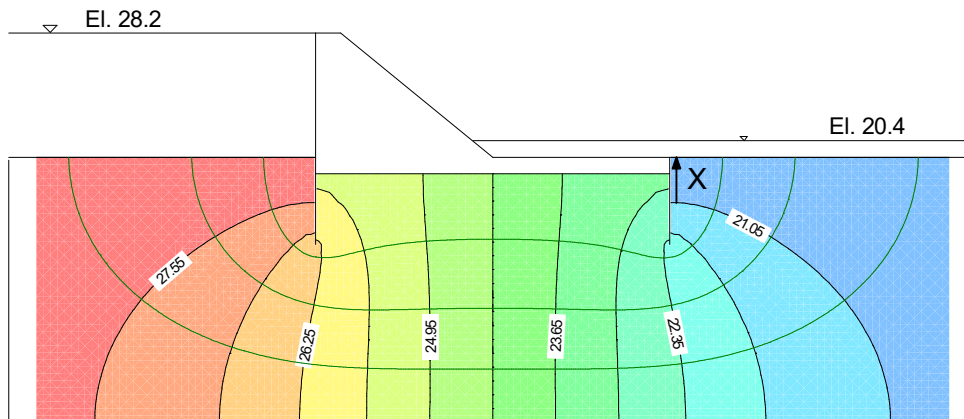


Figure 4. SEEP/W solution for the Lambe and Whitman (1979) example.

The vertical distance at the location of the upward arrow in Figure 4 is about  $19.2 - 15.9 = 3.3$  m. The upward gradient is therefore  $0.65\text{m}/3.3\text{m} = 0.20$ , which is, in essence, the same as for the flow net example.

In a finite element formulation, the gradients are computed at what are known as Gauss integration points. In the context of local element coordinates, which are  $\pm 1.0$  at the corners of

## GeoStudio Example - Exit gradients

the element and (0, 0) at the center of a quadrilateral element, the Gauss integration points for a 4-noded quadrilateral are at local coordinates equal to  $\pm 0.577$ . In SEEP/W, we shade Gauss integration areas as shown in Figure 5. The actual Gauss integration point is approximately in the middle of the Gauss area. The Gauss area is shown primarily for convenient reference. It is important, however, to recognize that the calculations are done at the Gauss integration point. This is discussed in more detail in the theory chapters of the GeoStudio Engineering Books.

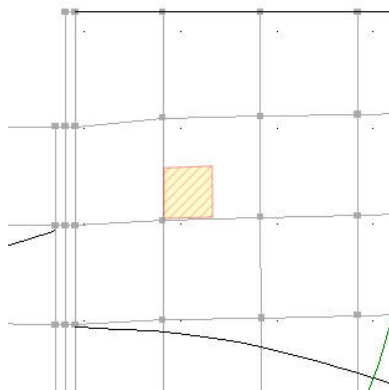


Figure 5. Illustration of a SEEP/W Gauss region.

If we click on the Gauss regions beside the up-arrow in the X area in Figure 4 using the View Result Information command, the y-gradients vary between 0.188 and 0.206. The variation is small because the flow is predominantly upward and represents the situation discussed earlier for one-dimensional upward flow in a column. While the variation is small, it demonstrates that the flow net method of computing exit gradients is an average over a meaningful distance.

Gradients can also be inspected at nodes. The gradients at nodes are, however, not computed as part of the finite element solution. They are computed as the average of the gradients in all the Gauss regions common to a node or that touch a node. Computing gradients at the nodes is done primarily for contouring purposes.

The exit gradient is independent of the hydraulic conductivity if the soil is homogeneous and isotropic. This is evident by examining the partial differential equation for steady-state seepage flow:

$$K_x \frac{\partial^2 h}{\partial x^2} + K_y \frac{\partial^2 h}{\partial y^2} = 0 \quad \text{Equation 3}$$

If the horizontal hydraulic conductivity ( $K_x$ ) is equal to vertical hydraulic conductivity ( $K_y$ ), we can divide both sides of the equation by  $K$ :

$$\frac{\partial^2 h}{\partial x^2} + \frac{\partial^2 h}{\partial y^2} = 0 \quad \text{Equation 4}$$

This shows that the pressure distribution is independent of the hydraulic conductivity in this special case and, therefore, the exit gradient is independent of the hydraulic conductivity.

The specific discharge, or Darcian velocity (called Liquid Velocity in SEEP/W), is, however, directly related to the hydraulic conductivity. For fairly permeable sands, the rate of upward flow will be much higher than for less permeable silty materials, even though the exit gradient is the same. The point of significance is that when we consider the possibility of piping where

## GeoStudio Example - Exit gradients

seepage exits the flow system, it is necessary to look at more than just exit gradients; flow quantities and velocities also need to be considered.

### Numerical Simulation

Now let us look at the case of a simple 1:1 slope (Figure 6). The steady-state water transfer analysis consists of a domain that is 46 m wide and 20 m and 10 m high up-slope and down-slope, respectively. The slope itself has a width of 10 m to ensure the 1:1 slope.

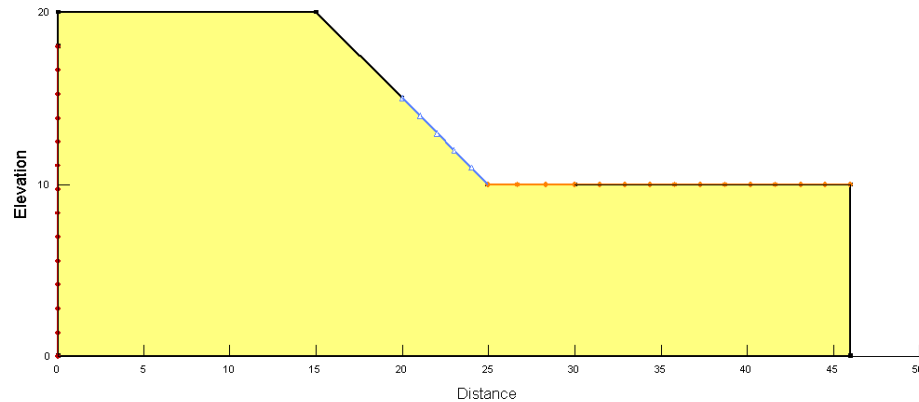


Figure 6. Problem configuration.

The soil was defined as a saturated-unsaturated material using the silt sample material in the estimation algorithms. Although the volumetric water content function ( $\theta$ ) is not required in a steady-state analysis, it was defined for estimation of the hydraulic conductivity function ( $k$ ). The saturated and residual water contents were set to 0.5 and 0.1, respectively, with the saturated hydraulic conductivity defined as  $1 \times 10^{-4}$  m/sec.

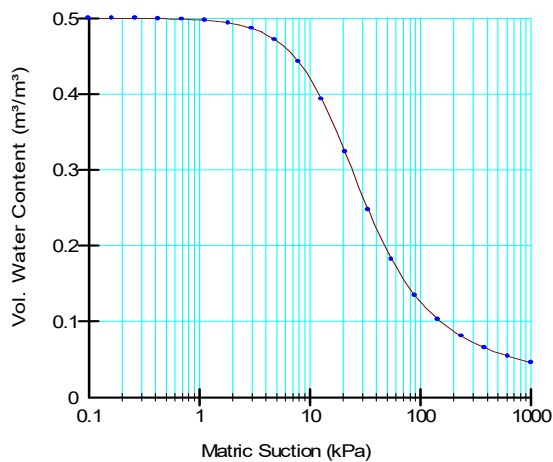
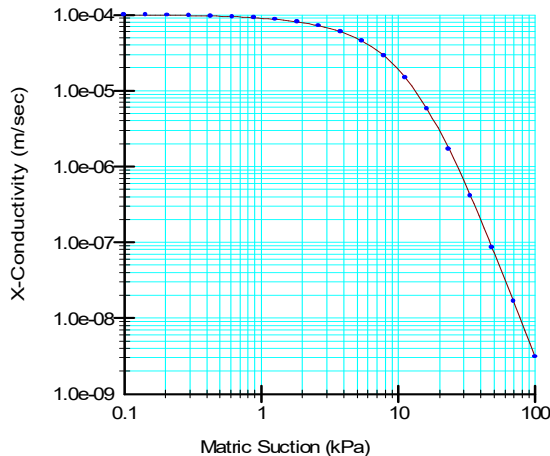


Figure 7. Volumetric water content of silt material.

## GeoStudio Example - Exit gradients



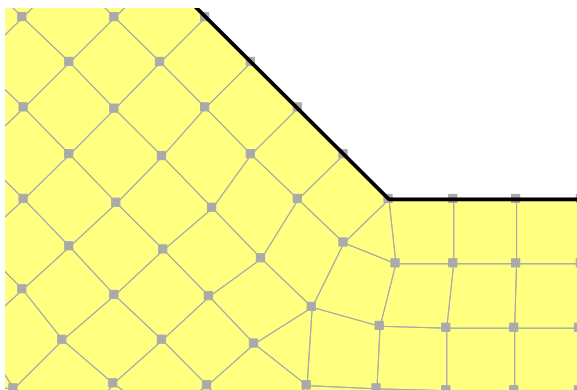
**Figure 8.** Hydraulic conductivity function of silt material.

A total head boundary condition of 18 m was set to the far left boundary. To create a pressure head equals zero condition on the down-slope surface, a total head boundary condition of 10 m was used. The potential seepage face boundary condition was applied to the lower half of the slope to allow the internal SEEP/W solver to determine the exact location of the seepage face. The mesh global element size is set to 1 m, with further discretization discussed below.

The ground surface profile has a sharp corner at the slope crest and at the slope toe. The purpose here is to examine the exit gradient at the slope toe. In mathematical terminology, a sharp corner in the ground surface, like at the slope toe, is known as a point of singularity. Fundamentally, this means that the solution to the partial differential equation describing the flow within the system is undefined at the point of singularity. In this case, it means that the derivatives of the flow equations are discontinuous at the slope toe. The consequence is that the gradients (derivatives) of the flow equations tend towards infinite at the point of singularity.

These tendencies for the gradients to become ever greater as the computations move closer to the singularity point can be illustrated by looking at the results for various element sizes. The Gauss integration point moves closer to the point of singularity as the element size gets smaller.

Figure 9 shows the mesh in the toe area when the elements are approximately 1 m in size. The computed x-y gradient at the toe node is 0.982. This is the average of the three Gauss regions common to this node.



**Figure 9.** Mesh at toe with elements about 1 m in size.

When the element size is reduced to about 0.4 m, as in Figure 10, the x-y gradient at the toe is 1.66. Reducing the element size to about 0.1 m (Figure 11) increases the x-y gradient at the

## GeoStudio Example - Exit gradients

toe to 3.443. The final mesh discretization uses an element size of approximately 0.1 m along the two lines that join at the slope toe.

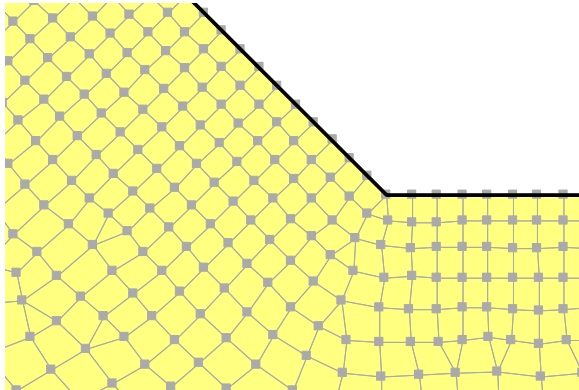


Figure 10. Mesh at the toe with elements about 0.4 m in size.

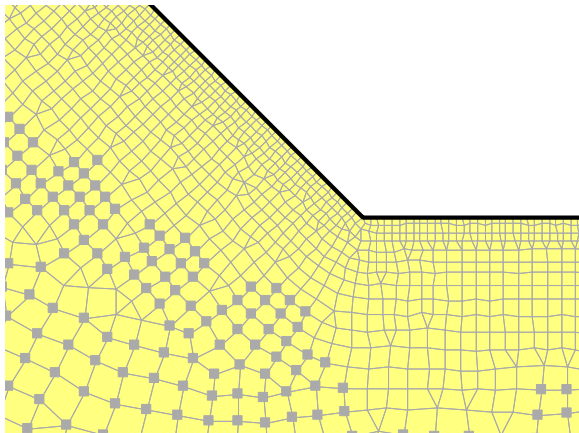


Figure 11. Mesh at the toe with elements about 0.1 m in size.

## Results and Discussion

The resulting total head contours and velocity vectors for the analysis can be seen in Figure 12. As expected, seepage occurs near the toe of the slope within the line used for application of the potential seepage face boundary condition.

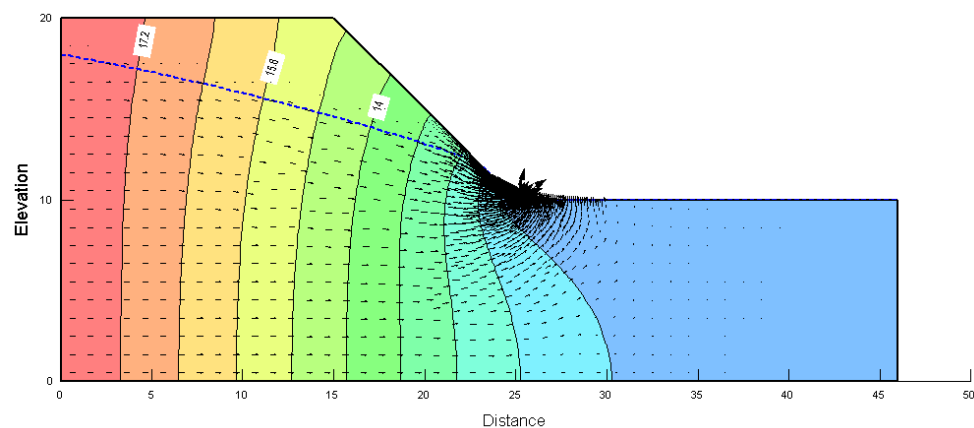


Figure 12. Resulting total head contours and velocity vectors.



## GeoStudio Example - Exit gradients

Another way to inspect what happens at the point of singularity is to look at the rate of change of the gradient with distance around the toe. Figure 13 shows contours of the x-y gradients around the toe. The last (highest) contour shown is 1.0 – the value at the toe is 3.44. Note, the distance between each contour interval rapidly decreases towards the slope toe which is reflective of the rapid change in the gradient as the solution moves towards the point of singularity.

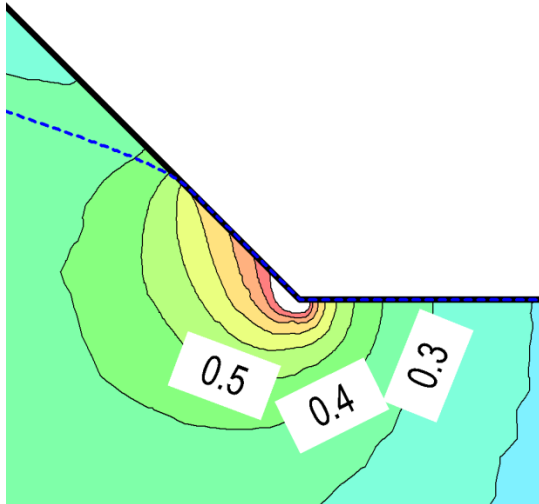


Figure 13. Contours of the x-y gradient – last contour shown is at 1.0.

Theoretically, as noted earlier, the solution to the partial differential flow equation is undefined at points of singularity. The practical implication is that the computed gradients at points of singularity have no physical significance.

Moreover, points of singularity seldom, if ever, exist in real field situations (except maybe at the corners of concrete structures). In all likelihood, the toe area of the slope is curved (Figure 14). Now, the maximum computed gradient is about 0.7 as opposed to the peak gradient of 3.44 in Figure 13. This is more realistic and further demonstrates that computed gradients at sharp points in the ground surface profile likely do not represent field conditions. Note, the GeoStudio (\*.gsz) file for the curved corner is not included in the example file.

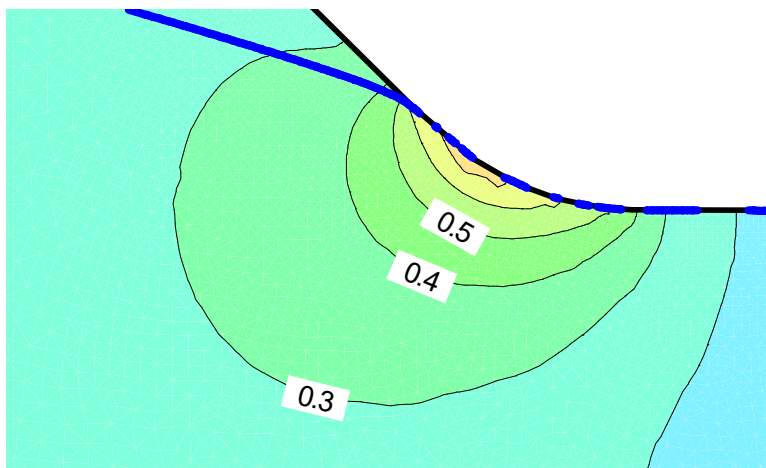


Figure 14. Gradient contours with a curved transition between the slope and the flat ground.

In a large field problem, it will not always be easy to make curves at the transition points. Using straight line segments make the model definition much easier. From a practical modeling

## GeoStudio Example - Exit gradients

perspective, it is better to use straight line segments and ignore the exit gradients at sharp breaks in the ground surface profile than attempting to create a curve at the break points. Creating too much geometric complexity at the break points can sometimes obscure the interpretation of the overall global flow system.

Interpreting the exit gradients computed in a SEEP/W finite element analysis requires some judgment and understanding by the analyst. The computed results cannot always be accepted at face value as being representative of field conditions. The follow are some issues that the analyst needs to understand and judge when interpreting the results.

1. The common belief that exit gradients should not exceed 1.0 was developed for one-dimensional upward flow, which represents a “quick” condition.
2. Upward flow conditions that result in an exit gradient of 1.0 represent a zero effective stress condition. The effective stress state condition is a much more important issue than the gradient. Internal to an earth structure, the gradient may be greater than 1.0, but the effective stress may be fairly high. A gradient greater than 1.0 in such a case does not represent a “quick” condition and will, therefore, not necessarily be damaging to the structure.
3. Exit gradients are not necessarily the only governing issue. Flow quantities also need to be considered in the context of the erodibility of the soil.
4. A seepage face is always an undesirable characteristic of engineered earth structures regardless of the exit gradients computed from a SEEP/W analysis. Generally, some form of a granular protection layer is ideally required. Examining granular filter criteria, however, is beyond the scope of this discussion.
5. When examining the exit gradients from an analysis, such as the 45-degree slope illustrated earlier, it is better to look at the gradients some distance away from sharp breaks in the ground surface profile (e.g. a meter or two away). As noted earlier, the computed gradients at points of singularity are in all likelihood not representative of actual field conditions.
6. If you want to interpret SEEP/W exit gradients in the context of what has traditionally been done with flow nets, you should look at the head loss between two equipotential lines over a significant distance and compute an average exit gradient.
7. Attempting to curve the ground profile with too much geometric complexity is not recommended, as noted in the previously. From a modeling perspective, it is better to simply ignore the computed gradients at sharp breaks in the ground surface profile.

## Summary and Conclusions

The concept of exit gradients was introduced and discussed in this example. The simple 1:1 slope example that was used in this analysis used sharp breaks at the crest and toe of the slope, leading to potentially unrealistic exit gradients at the toe of the slope. The exit gradients computed with a SEEP/W analysis cannot always be taken at face value as being representative of the actual field conditions. Considerable understanding and judgment is vital to interpreting and using the computed results.

## References

Lambe, T.W. and Whitman, R.V. 1979. *Soil Mechanics, SI Version*. John Wiley & Sons, New York: p. 271.

